



January 13, 2021

Note for operators and certification bodies of CSA-GTP certification schemes

The CSA-GTP certification scheme has evolved. You will find below the main changes and their effective dates. The documentation has been updated on the site www.incograin.com.

1. Mandatory notifications in the event of non-conformities - Monitoring plan

From January 25, 2021, in the event of an unfavorable autocontrol result on a product, it is mandatory to notify or immediately inform the competent authorities (DDCSPP for France), the CSA-GTP certification scheme at the following address: notification@csa-gtp.com and the certification body, in accordance with the french regulations in force (article 50 of law n ° 2018-938 of October 30, 2018, amending article L. 201-7 of the Rural and Fisheries Code maritime) and in appendix 2a of the standard.

Moreover :

- In the event of an unfavorable result on a product, whether it is placed on the market or not, if the product from the same batch is still in stock at the operator site, the operator identifies, isolates and implements corrective actions and ensures product compliance.
- If the product is placed on the market, the operator must immediately alert all potentially affected clients.
- Following a notification or information to the authorities, CSA-GTP and the certification body, the operator must immediately transmit to the certification body and to the CSA-GTP at the following address: notification@csa-gtp.com the corrective actions decided internally (with regard to the identification of the causes, the management of traceability, the risk of cross-contamination and the becoming of the goods concerned).

All of those requirements constitute a regulatory obligation. If there is a non-compliance with one of them, it constitutes a critical deviation, and involves the suspension of the certificate.



2. Sanctions of certification bodies

On the basis of the audit reports from the certification bodies, appropriate measures or sanctions can be put in place to the certification bodies in case of non-compliance observed with regard to compliance with the CSA-GTP documents.

As an indication, the list below gives some examples of situations that could give rise to this type of decision:

- Failure to comply with the expected qualification of auditors
- Failure to respect audit frequencies
- Abusive issuance of certificates
- Failure to set up an additional audit by the operator in the event that the measures enabling the non-compliance to be lifted are not implemented within a maximum period of 3 months
- Discrediting CSA-GTP
- Withdrawal, suspension or termination of their accreditation
- Proof of negligence or any other failure to apply the requirements of this Regulation

The sanctions that can be applied are as follows:

- Warning with a deadline allowing the CB to remedy the deficiencies in a verifiable manner. During a period of 12 months and for an identical NC, the warning can only be used once as a sanction
- Suspension of recognition until the deficiencies are demonstrably remedied, such that, during the suspension, the CB is no longer able to sign new contracts, perform audits, to issue new CSA-GTP certificates. All audits scheduled during the period of suspension of recognition must be carried out by another approved CB. The suspended CB is responsible for organizing this audit, in consultation with the company.
- Do not extend the agreement with the CB, so that it cannot subsequently be able to carry out its activities
- Break the agreement with the CB with a short notice period, so that it can no longer be able to perform its CSA-GTP certification activities
- Break the agreement with the CB with immediate effect, so that it immediately stops exercising its CSA-GTP certification activities

For each of these sanctions, the CSA-GTP may decide to communicate its decision through the website and / or in another way.



3. Entry into force

These new requirements are applicable from January 25, 2021.

All audits that will take place from January 25, 2021 must be carried out according to the version of the CSA-GTP documents of January 2021.

4. CSA-GTP email addresses

CSA-GTP now has two email addresses:

- The email address notification@csa-gtp.com exclusively reserved for notifications regarding non conformities (see point 1.)
- The email address secretariat@csa-gtp.com for all other questions, including questions and administrative procedures regarding the CSA-GTP.